

Relational Disjoint-Set Forests

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Abstract

We give a simple relation-algebraic semantics of read and write operations on associative arrays. The array operations seamlessly integrate with assignments in the Hoare-logic library. Using relation algebras and Kleene algebras we verify the correctness of an array-based implementation of disjoint-set forests with a naive union operation and a find operation with path compression.

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1 Overview

Relation algebras and Kleene algebras have previously been used to reason about graphs and graph algorithms [2, 3, 4, 5, 9, 12, 15]. The operations of these algebras manipulate entire graphs, which is useful for specification but not directly intended for implementation. Low-level array access is a key ingredient for efficient algorithms [6]. We give a relation-algebraic semantics for such read/write access to associative arrays. This allows us to extend relation-algebraic verification methods to a lower level of more efficient implementations.

In this theory we focus on arrays with the same index and value sets, which can be modelled as homogeneous relations and therefore as elements of relation algebras and Kleene algebras [13, 17]. We implement and verify the correctness of disjoint-set forests with path compression and naive union [6, 8, 16].

In order to prepare this theory for future applications with weighted graphs, the verification uses Stone relation algebras, which have weaker axioms than relation algebras [10].

Section 2 contains the simple relation-algebraic semantics of associative array read and write and basic properties of these access operations. In Section 3 we give a Kleene-relation-algebraic semantics of disjoint-set forests. The make-set, find-set and union-sets operations are implemented and verified in Section 4.

This Isabelle/HOL theory formally verifies results in [11]. Theorem numbers from this paper are mentioned in the theory for reference. See the paper for further details and related work.

Several Isabelle/HOL theories are related to disjoint sets. The theory `HOL/Library/Disjoint_Sets.thy` contains results about partitions and sets of disjoint sets and does not consider their implementation. An implementation of disjoint-set forests with path compression and a size-based heuristic in the Imperative/HOL framework is verified in Archive of Formal Proofs entry [14]. Improved automation of this proof is considered in Archive of Formal Proofs entry [18]. These approaches are based on logical specifications whereas the present theory uses relation algebras and Kleene algebras.

theory *Disjoint-Set-Forests*

imports

Aggregation-Algebras.Hoare-Logic

Stone-Kleene-Relation-Algebras.Kleene-Relation-Algebras

begin

no-notation

trancl $((^+)$ [1000] 999)

context *stone-relation-algebra*

begin

We start with a few basic properties of arcs, points and rectangles.

An arc in a Stone relation algebra corresponds to an atom in a relation algebra and represents a single edge in a graph. A point represents a set of nodes. A rectangle represents the Cartesian product of two sets of nodes [4].

lemma *points-arc*:

point $x \implies \text{point } y \implies \text{arc } (x * y^T)$
by (*metis comp-associative conv-dist-comp conv-involutive*
equivalence-top-closed)

lemma *point-arc*:
point $x \implies \text{arc } (x * x^T)$
by (*simp add: points-arc*)

lemma *injective-codomain*:
assumes *injective* x
shows $x * (x \sqcap 1) = x \sqcap 1$
proof (*rule antisym*)
show $x * (x \sqcap 1) \leq x \sqcap 1$
by (*metis assms comp-right-one dual-order.trans inf.boundedI inf.cobounded1*
inf.sup-monoid.add-commute mult-right-isotone one-inf-conv)
next
show $x \sqcap 1 \leq x * (x \sqcap 1)$
by (*metis coreflexive-idempotent inf.cobounded1 inf.cobounded2*
mult-left-isotone)
qed

abbreviation *rectangle* $:: 'a \Rightarrow \text{bool}$
where *rectangle* $x \equiv x * \text{top} * x = x$

lemma *arc-rectangle*:
arc $x \implies \text{rectangle } x$
using *arc-top-arc* **by** *blast*

2 Relation-Algebraic Semantics of Associative Array Access

The following two operations model updating array x at index y to value z , and reading the content of array x at index y , respectively. The read operation uses double brackets to avoid ambiguity with list syntax. The remainder of this section shows basic properties of these operations.

abbreviation *rel-update* $:: 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a \ ((-[\mapsto-])$ [70, 65, 65] 61)
where $x[y \mapsto z] \equiv (y \sqcap z^T) \sqcup (-y \sqcap x)$

abbreviation *rel-access* $:: 'a \Rightarrow 'a \Rightarrow 'a \ ((2-[-])$ [70, 65] 65)
where $x[[y]] \equiv x^T * y$

Theorem 1.1

lemma *update-univalent*:
assumes *univalent* x
and *vector* y
and *injective* z
shows *univalent* $(x[y \mapsto z])$

proof –
have 1: *univalent* $(y \sqcap z^T)$
using *assms*(3) *inf-commute univalent-inf-closed* **by** *force*
have $(y \sqcap z^T)^T * (-y \sqcap x) = (y^T \sqcap z) * (-y \sqcap x)$
by (*simp add: conv-dist-inf*)
also have $\dots = z * (y \sqcap -y \sqcap x)$
by (*metis assms*(2) *covector-inf-comp-3 inf.sup-monoid.add-assoc*
inf.sup-monoid.add-commute)
finally have 2: $(y \sqcap z^T)^T * (-y \sqcap x) = \text{bot}$
by *simp*
have 3: *vector* $(-y)$
using *assms*(2) *vector-complement-closed* **by** *simp*
have $(-y \sqcap x)^T * (y \sqcap z^T) = (-y^T \sqcap x^T) * (y \sqcap z^T)$
by (*simp add: conv-complement conv-dist-inf*)
also have $\dots = x^T * (-y \sqcap y \sqcap z^T)$
using 3 **by** (*metis (mono-tags, hide-lams) conv-complement*
covector-inf-comp-3 inf.sup-monoid.add-assoc inf.sup-monoid.add-commute)
finally have 4: $(-y \sqcap x)^T * (y \sqcap z^T) = \text{bot}$
by *simp*
have 5: *univalent* $(-y \sqcap x)$
using *assms*(1) *inf-commute univalent-inf-closed* **by** *fastforce*
have $(x[y \mapsto z])^T * (x[y \mapsto z]) = (y \sqcap z^T)^T * (x[y \mapsto z]) \sqcup (-y \sqcap x)^T * (x[y \mapsto z])$
by (*simp add: conv-dist-sup mult-right-dist-sup*)
also have $\dots = (y \sqcap z^T)^T * (y \sqcap z^T) \sqcup (y \sqcap z^T)^T * (-y \sqcap x) \sqcup (-y \sqcap x)^T * (y \sqcap z^T) \sqcup (-y \sqcap x)^T * (-y \sqcap x)$
by (*simp add: mult-left-dist-sup sup-assoc*)
finally show *?thesis*
using 1 2 4 5 **by** *simp*
qed

Theorem 1.2

lemma *update-total*:

assumes *total* x
and *vector* y
and *regular* y
and *surjective* z
shows *total* $(x[y \mapsto z])$

proof –

have $(x[y \mapsto z]) * \text{top} = x * \text{top}[y \mapsto \text{top} * z]$
by (*simp add: assms*(2) *semiring.distrib-right vector-complement-closed*
vector-inf-comp conv-dist-comp)
also have $\dots = \text{top}[y \mapsto \text{top}]$
using *assms*(1) *assms*(4) **by** *simp*
also have $\dots = \text{top}$
using *assms*(3) *regular-complement-top* **by** *auto*
finally show *?thesis*
by *simp*
qed

Theorem 1.3

lemma *update-mapping*:
 assumes *mapping* x
 and *vector* y
 and *regular* y
 and *bijjective* z
shows *mapping* $(x[y \mapsto z])$
using *assms update-univalent update-total* **by** *simp*

Theorem 1.4

lemma *read-injective*:
 assumes *injective* y
 and *univalent* x
shows *injective* $(x[[y]])$
using *assms injective-mult-closed univalent-conv-injective* **by** *blast*

Theorem 1.5

lemma *read-surjective*:
 assumes *surjective* y
 and *total* x
shows *surjective* $(x[[y]])$
using *assms surjective-mult-closed total-conv-surjective* **by** *blast*

Theorem 1.6

lemma *read-bijjective*:
 assumes *bijjective* y
 and *mapping* x
shows *bijjective* $(x[[y]])$
by (*simp add: assms read-injective read-surjective*)

Theorem 1.7

lemma *read-point*:
 assumes *point* p
 and *mapping* x
shows *point* $(x[[p]])$
using *assms comp-associative read-injective read-surjective* **by** *auto*

Theorem 1.8

lemma *update-postcondition*:
 assumes *point* x *point* y
shows $x \sqcap p = x * y^T \longleftrightarrow p[[x]] = y$
apply (*rule iffI*)
 subgoal by (*metis assms comp-associative conv-dist-comp conv-involutive*
covector-inf-comp-3 equivalence-top-closed vector-covector)
 subgoal
 apply (*rule antisym*)
 subgoal by (*metis assms conv-dist-comp conv-involutive inf.boundedI*
inf.cobounded1 vector-covector vector-restrict-comp-conv)

subgoal by (*smt assms comp-associative conv-dist-comp conv-involutive covector-restrict-comp-conv dense-conv-closed equivalence-top-closed inf.boundedI shunt-mapping vector-covector preorder-idempotent*)
done
done

Back and von Wright's array independence requirements [1], later also lens laws [7]

lemma *put-get*:

assumes *vector y surjective y vector z*
shows $(x[y \mapsto z])[y] = z$
proof –
have $(x[y \mapsto z])[y] = (y^T \sqcap z) * y \sqcup (-y^T \sqcap x^T) * y$
by (*simp add: conv-complement conv-dist-inf conv-dist-sup mult-right-dist-sup*)
also have $\dots = z * y$
proof –
have $(-y^T \sqcap x^T) * y = \text{bot}$
by (*metis assms(1) covector-inf-comp-3 inf-commute conv-complement mult-right-zero p-inf vector-complement-closed*)
thus *?thesis*
by (*simp add: assms covector-inf-comp-3 inf-commute*)
qed
also have $\dots = z$
by (*metis assms(2,3) mult-assoc*)
finally show *?thesis*

qed

lemma *put-put*:

$(x[y \mapsto z])[y \mapsto w] = x[y \mapsto w]$
by (*metis inf-absorb2 inf-commute inf-le1 inf-sup-distrib1 maddux-3-13 sup-inf-absorb*)

lemma *get-put*:

assumes *point y*
shows $x[y \mapsto x[y]] = x$
proof –
have $x[y \mapsto x[y]] = (y \sqcap y^T * x) \sqcup (-y \sqcap x)$
by (*simp add: conv-dist-comp*)
also have $\dots = (y \sqcap x) \sqcup (-y \sqcap x)$
proof –
have $y \sqcap y^T * x = y \sqcap x$
proof (*rule antisym*)
have $y \sqcap y^T * x = (y \sqcap y^T) * x$
by (*simp add: assms vector-inf-comp*)
also have $(y \sqcap y^T) * x = y * y^T * x$
by (*simp add: assms vector-covector*)
also have $\dots \leq x$
using *assms comp-isotone* **by** *fastforce*

```

finally show  $y \sqcap y^T * x \leq y \sqcap x$ 
  by simp
have  $y \sqcap x \leq y^T * x$ 
  by (simp add: assms vector-restrict-comp-conv)
thus  $y \sqcap x \leq y \sqcap y^T * x$ 
  by simp
qed
thus ?thesis
  by simp
qed
also have  $\dots = x$ 
proof –
  have regular y
  using assms bijective-regular by blast
thus ?thesis
  by (metis inf.sup-monoid.add-commute maddux-3-11-pp)
qed
finally show ?thesis
.
qed
end

```

3 Relation-Algebraic Semantics of Disjoint-Set Forests

A disjoint-set forest represents a partition of a set into equivalence classes. We take the represented equivalence relation as the semantics of a forest. It is obtained by operation *fc* below. Additionally, operation *wcc* giving the weakly connected components of a graph will be used for the semantics of the union of two disjoint sets. Finally, operation *root* yields the root of a component tree, that is, the representative of a set containing a given element. This section defines these operations and derives their properties.

```

context stone-kleene-relation-algebra
begin

```

```

lemma equivalence-star-closed:

```

```

  equivalence x  $\implies$  equivalence  $(x^*)$ 

```

```

  by (simp add: conv-star-commute star.circ-reflexive star.circ-transitive-equal)

```

```

lemma equivalence-plus-closed:

```

```

  equivalence x  $\implies$  equivalence  $(x^+)$ 

```

```

  by (simp add: conv-star-commute star.circ-reflexive star.circ-sup-one-left-unfold
star.circ-transitive-equal)

```

```

lemma reachable-without-loops:

```

```

   $x^* = (x \sqcap -1)^*$ 

```

proof (*rule antisym*)
have $x * (x \sqcap -1)^* = (x \sqcap 1) * (x \sqcap -1)^* \sqcup (x \sqcap -1) * (x \sqcap -1)^*$
by (*metis maddux-3-11-pp mult-right-dist-sup regular-one-closed*)
also have $\dots \leq (x \sqcap -1)^*$
by (*metis inf.cobounded2 le-supI mult-left-isotone star.circ-circ-mult star.left-plus-below-circ star-involutive star-one*)
finally show $x^* \leq (x \sqcap -1)^*$
by (*metis inf.cobounded2 maddux-3-11-pp regular-one-closed star.circ-circ-mult star.circ-sup-2 star-involutive star-sub-one*)
next
show $(x \sqcap -1)^* \leq x^*$
by (*simp add: star-isotone*)
qed

lemma *star-plus-loops*:

$$x^* \sqcup 1 = x^+ \sqcup 1$$

using *star.circ-plus-one star-left-unfold-equal sup-commute* **by** *auto*

lemma *star-plus-without-loops*:

$$x^* \sqcap -1 = x^+ \sqcap -1$$

by (*metis maddux-3-13 star-left-unfold-equal*)

Theorem 4.2

lemma *omit-redundant-points*:

assumes *point p*

shows $p \sqcap x^* = (p \sqcap 1) \sqcup (p \sqcap x) * (-p \sqcap x)^*$

proof (*rule antisym*)

let $?p = p \sqcap 1$

have $?p * x * (-p \sqcap x)^* * ?p \leq ?p * top * ?p$

by (*metis comp-associative mult-left-isotone mult-right-isotone top.extremum*)

also have $\dots \leq ?p$

by (*simp add: assms injective-codomain vector-inf-one-comp*)

finally have $?p * x * (-p \sqcap x)^* * ?p * x \leq ?p * x$

using *mult-left-isotone* **by** *blast*

hence $?p * x * (-p \sqcap x)^* * (p \sqcap x) \leq ?p * x$

by (*simp add: assms comp-associative vector-inf-one-comp*)

also have $1: \dots \leq ?p * x * (-p \sqcap x)^*$

using *mult-right-isotone star.circ-reflexive* **by** *fastforce*

finally have $?p * x * (-p \sqcap x)^* * (p \sqcap x) \sqcup ?p * x * (-p \sqcap x)^* * (-p \sqcap x) \leq ?p * x * (-p \sqcap x)^*$

by (*simp add: mult-right-isotone star.circ-plus-same star.left-plus-below-circ mult-assoc*)

hence $?p * x * (-p \sqcap x)^* * ((p \sqcup -p) \sqcap x) \leq ?p * x * (-p \sqcap x)^*$

by (*simp add: comp-inf.mult-right-dist-sup mult-left-dist-sup*)

hence $?p * x * (-p \sqcap x)^* * x \leq ?p * x * (-p \sqcap x)^*$

by (*metis assms bijective-regular inf.absorb2 inf.cobounded1 inf.sup-monoid.add-commute shunting-p*)

hence $?p * x * (-p \sqcap x)^* * x \sqcup ?p * x \leq ?p * x * (-p \sqcap x)^*$

using 1 **by** *simp*

hence $?p * (1 \sqcup x * (-p \sqcap x)^*) * x \leq ?p * x * (-p \sqcap x)^*$
by (*simp add: comp-associative mult-left-dist-sup mult-right-dist-sup*)
also have $\dots \leq ?p * (1 \sqcup x * (-p \sqcap x)^*)$
by (*simp add: comp-associative mult-right-isotone*)
finally have $?p * x^* \leq ?p * (1 \sqcup x * (-p \sqcap x)^*)$
using *star-right-induct* **by** (*meson dual-order.trans le-supI*
mult-left-sub-dist-sup-left mult-sub-right-one)
also have $\dots = ?p \sqcup ?p * x * (-p \sqcap x)^*$
by (*simp add: comp-associative semiring.distrib-left*)
finally show $p \sqcap x^* \leq ?p \sqcup (p \sqcap x) * (-p \sqcap x)^*$
by (*simp add: assms vector-inf-one-comp*)
show $?p \sqcup (p \sqcap x) * (-p \sqcap x)^* \leq p \sqcap x^*$
by (*metis assms comp-isotone inf.boundedI inf.cobounded1 inf.coboundedI2*
inf.sup-monoid.add-commute le-supI star.circ-increasing star.circ-transitive-equal
star-isotone star-left-unfold-equal sup.cobounded1 vector-export-comp)
qed

Weakly connected components

abbreviation $wcc\ x \equiv (x \sqcup x^T)^*$

Theorem 5.1

lemma *wcc-equivalence*:

equivalence (wcc x)

apply (*intro conjI*)

subgoal by (*simp add: star.circ-reflexive*)

subgoal by (*simp add: star.circ-transitive-equal*)

subgoal by (*simp add: conv-dist-sup conv-star-commute sup-commute*)

done

Theorem 5.2

lemma *wcc-increasing*:

$x \leq wcc\ x$

by (*simp add: star.circ-sub-dist-1*)

lemma *wcc-isotone*:

$x \leq y \implies wcc\ x \leq wcc\ y$

using *conv-isotone star-isotone sup-mono* **by** *blast*

lemma *wcc-idempotent*:

$wcc\ (wcc\ x) = wcc\ x$

using *star-involutive wcc-equivalence* **by** *auto*

Theorem 5.3

lemma *wcc-below-wcc*:

$x \leq wcc\ y \implies wcc\ x \leq wcc\ y$

using *wcc-idempotent wcc-isotone* **by** *fastforce*

Theorem 5.4

lemma *wcc-bot*:

$wcc\ bot = 1$
by (*simp add: star.circ-zero*)

lemma *wcc-one*:
 $wcc\ 1 = 1$
by (*simp add: star-one*)

[Theorem 5.5](#)

lemma *wcc-top*:
 $wcc\ top = top$
by (*simp add: star.circ-top*)

[Theorem 5.6](#)

lemma *wcc-with-loops*:
 $wcc\ x = wcc\ (x \sqcup 1)$
by (*metis conv-dist-sup star-decompose-1 star-sup-one sup-commute symmetric-one-closed*)

lemma *wcc-without-loops*:
 $wcc\ x = wcc\ (x \sqcap -1)$
by (*metis conv-star-commute star-sum reachable-without-loops*)

lemma *forest-components-wcc*:
injective $x \implies wcc\ x = forest-components\ x$
by (*simp add: cancel-separate-1*)

[Components of a forest, which is represented using edges directed towards the roots](#)

abbreviation $fc\ x \equiv x^* * x^{T^*}$

[Theorem 2.1](#)

lemma *fc-equivalence*:
univalent $x \implies equivalence\ (fc\ x)$
apply (*intro conjI*)
subgoal **by** (*simp add: reflexive-mult-closed star.circ-reflexive*)
subgoal **by** (*metis cancel-separate-1 eq-iff star.circ-transitive-equal*)
subgoal **by** (*simp add: conv-dist-comp conv-star-commute*)
done

[Theorem 2.2](#)

lemma *fc-increasing*:
 $x \leq fc\ x$
by (*metis le-supE mult-left-isotone star.circ-back-loop-fixpoint star.circ-increasing*)

[Theorem 2.3](#)

lemma *fc-isotone*:
 $x \leq y \implies fc\ x \leq fc\ y$
by (*simp add: comp-isotone conv-isotone star-isotone*)

Theorem 2.4

lemma *fc-idempotent*:

univalent $x \implies fc (fc x) = fc x$

by (*metis fc-equivalence cancel-separate-1 star.circ-transitive-equal star-involutive*)

Theorem 2.5

lemma *fc-star*:

univalent $x \implies (fc x)^* = fc x$

using *fc-equivalence fc-idempotent star.circ-transitive-equal* **by** *simp*

lemma *fc-plus*:

univalent $x \implies (fc x)^+ = fc x$

by (*metis fc-star star.circ-decompose-9*)

Theorem 2.6

lemma *fc-bot*:

fc bot = 1

by (*simp add: star.circ-zero*)

lemma *fc-one*:

fc 1 = 1

by (*simp add: star-one*)

Theorem 2.7

lemma *fc-top*:

fc top = top

by (*simp add: star.circ-top*)

Theorem 5.7

lemma *fc-wcc*:

univalent $x \implies wcc x = fc x$

by (*simp add: fc-star star-decompose-1*)

Theorem 4.1

lemma *update-acyclic-1*:

assumes *acyclic* ($p \sqcap -1$)

and *point* y

and *point* w

and $y \leq p^{T^*} * w$

shows *acyclic* ($(p[w \mapsto y]) \sqcap -1$)

proof –

let $?p = p[w \mapsto y]$

have $w \leq p^* * y$

using *assms(2-4)* **by** (*metis (no-types, lifting) bijective-reverse*

conv-star-commute)

hence $w * y^T \leq p^*$

using *assms(2) shunt-bijective* **by** *blast*

hence $w * y^T \leq (p \sqcap -1)^*$

using *reachable-without-loops* **by** *auto*
hence $w * y^T \sqcap -1 \leq (p \sqcap -1)^* \sqcap -1$
by (*simp add: inf.coboundedI2 inf.sup-monoid.add-commute*)
also have $\dots \leq (p \sqcap -1)^+$
by (*simp add: star-plus-without-loops*)
finally have $1: w \sqcap y^T \sqcap -1 \leq (p \sqcap -1)^+$
using *assms(2,3) vector-covector* **by** *auto*
have $?p \sqcap -1 = (w \sqcap y^T \sqcap -1) \sqcup (-w \sqcap p \sqcap -1)$
by (*simp add: inf-sup-distrib2*)
also have $\dots \leq (p \sqcap -1)^+ \sqcup (-w \sqcap p \sqcap -1)$
using *1 sup-left-isotone* **by** *blast*
also have $\dots \leq (p \sqcap -1)^+ \sqcup (p \sqcap -1)$
using *comp-inf.mult-semi-associative sup-right-isotone* **by** *auto*
also have $\dots = (p \sqcap -1)^+$
by (*metis star.circ-back-loop-fixpoint sup.right-idem*)
finally have $(?p \sqcap -1)^+ \leq (p \sqcap -1)^+$
by (*metis comp-associative comp-isotone star.circ-transitive-equal*
star.left-plus-circ star-isotone)
also have $\dots \leq -1$
using *assms(1)* **by** *blast*
finally show *?thesis*
by *simp*
qed

lemma *rectangle-star-rectangle*:
*rectangle a $\implies a * x^* * a \leq a$*
by (*metis mult-left-isotone mult-right-isotone top.extremum*)

lemma *arc-star-arc*:
*arc a $\implies a * x^* * a \leq a$*
using *arc-top-arc rectangle-star-rectangle* **by** *blast*

lemma *star-rectangle-decompose*:
assumes *rectangle a*
shows $(a \sqcup x)^* = x^* \sqcup x^* * a * x^*$
proof (*rule antisym*)
have $1: 1 \leq x^* \sqcup x^* * a * x^*$
by (*simp add: star.circ-reflexive sup.coboundedI1*)
have $(a \sqcup x) * (x^* \sqcup x^* * a * x^*) = a * x^* \sqcup a * x^* * a * x^* \sqcup x^+ \sqcup x^+ * a * x^*$
by (*metis comp-associative semiring.combine-common-factor*
semiring.distrib-left sup-commute)
also have $\dots = a * x^* \sqcup x^+ \sqcup x^+ * a * x^*$
using *assms rectangle-star-rectangle* **by** (*simp add: mult-left-isotone*
sup-absorb1)
also have $\dots = x^+ \sqcup x^* * a * x^*$
by (*metis comp-associative star.circ-loop-fixpoint sup-assoc sup-commute*)
also have $\dots \leq x^* \sqcup x^* * a * x^*$
using *star.left-plus-below-circ sup-left-isotone* **by** *auto*

finally show $(a \sqcup x)^* \leq x^* \sqcup x^* * a * x^*$
using 1 by (*metis comp-right-one le-supI star-left-induct*)
next
show $x^* \sqcup x^* * a * x^* \leq (a \sqcup x)^*$
by (*metis comp-isotone le-supE le-supI star.circ-increasing*
star.circ-transitive-equal star-isotone sup-ge2)
qed

lemma *star-arc-decompose*:
 $arc\ a \implies (a \sqcup x)^* = x^* \sqcup x^* * a * x^*$
using *arc-top-arc star-rectangle-decompose* **by** *blast*

lemma *plus-rectangle-decompose*:
assumes *rectangle a*
shows $(a \sqcup x)^+ = x^+ \sqcup x^* * a * x^*$
proof –
have $(a \sqcup x)^+ = (a \sqcup x) * (x^* \sqcup x^* * a * x^*)$
by (*simp add: assms star-rectangle-decompose*)
also have $\dots = a * x^* \sqcup a * x^* * a * x^* \sqcup x^+ \sqcup x^+ * a * x^*$
by (*metis comp-associative semiring.combine-common-factor*
semiring.distrib-left sup-commute)
also have $\dots = a * x^* \sqcup x^+ \sqcup x^+ * a * x^*$
using *assms rectangle-star-rectangle* **by** (*simp add: mult-left-isotone*
sup-absorb1)
also have $\dots = x^+ \sqcup x^* * a * x^*$
by (*metis comp-associative star.circ-loop-fixpoint sup-assoc sup-commute*)
finally show *?thesis*
by *simp*
qed

Theorem 6.1

lemma *plus-arc-decompose*:
 $arc\ a \implies (a \sqcup x)^+ = x^+ \sqcup x^* * a * x^*$
using *arc-top-arc plus-rectangle-decompose* **by** *blast*

Theorem 6.2

lemma *update-acyclic-2*:
assumes *acyclic (p \sqcap -1)*
and *point y*
and *point w*
and $y \sqcap p^* * w = bot$
shows *acyclic ((p[w \mapsto y]) \sqcap -1)*
proof –
let $?p = p[w \mapsto y]$
have $y^T * p^* * w \leq -1$
using *assms(4) comp-associative pseudo-complement schroeder-3-p* **by** *auto*
hence $1: p^* * w * y^T * p^* \leq -1$
by (*metis comp-associative comp-commute-below-diversity*
star.circ-transitive-equal)

have $?p \sqcap -1 \leq (w \sqcap y^T) \sqcup (p \sqcap -1)$
by (*metis comp-inf.mult-right-dist-sup dual-order.trans inf.cobounded1 inf.coboundedI2 inf.sup-monoid.add-assoc le-supI sup.cobounded1 sup-ge2*)
also have $\dots = w * y^T \sqcup (p \sqcap -1)$
using *assms(2,3)* **by** (*simp add: vector-covector*)
finally have $(?p \sqcap -1)^+ \leq (w * y^T \sqcup (p \sqcap -1))^+$
by (*simp add: comp-isotone star-isotone*)
also have $\dots = (p \sqcap -1)^+ \sqcup (p \sqcap -1)^* * w * y^T * (p \sqcap -1)^*$
using *assms(2,3) plus-arc-decompose points-arc* **by** (*simp add: comp-associative*)
also have $\dots \leq (p \sqcap -1)^+ \sqcup p^* * w * y^T * p^*$
using *reachable-without-loops* **by** *auto*
also have $\dots \leq -1$
using *1 assms(1)* **by** *simp*
finally show *?thesis*
by *simp*
qed

lemma *acyclic-down-closed*:
 $x \leq y \implies \text{acyclic } y \implies \text{acyclic } x$
using *comp-isotone star-isotone* **by** *fastforce*

Theorem 6.3

lemma *update-acyclic-3*:
assumes *acyclic (p \sqcap -1)*
and *point w*
shows *acyclic ((p[w \mapsto w]) \sqcap -1)*
proof –
let $?p = p[w \mapsto w]$
have $?p \sqcap -1 \leq (w \sqcap w^T \sqcap -1) \sqcup (p \sqcap -1)$
by (*metis comp-inf.mult-right-dist-sup inf.cobounded2 inf.sup-monoid.add-assoc sup-right-isotone*)
also have $\dots = p \sqcap -1$
using *assms(2)* **by** (*metis comp-inf.covector-complement-closed equivalence-top-closed inf-top.right-neutral maddux-3-13 pseudo-complement regular-closed-top regular-one-closed vector-covector vector-top-closed*)
finally show *?thesis*
using *assms(1) acyclic-down-closed* **by** *blast*
qed

Root of the tree containing point x in the disjoint-set forest p

abbreviation $\text{root } p \ x \equiv p^{T^*} * x \sqcap (p \sqcap 1) * \text{top}$

Theorem 3.1

lemma *root-var*:
 $\text{root } p \ x = (p \sqcap 1) * p^{T^*} * x$
by (*simp add: coreflexive-comp-top-inf inf-commute mult-assoc*)

Theorem 3.2

lemma *root-successor-loop*:
univalent p \implies root p x = p[[root p x]]
by (*metis root-var injective-codomain comp-associative conv-dist-inf coreflexive-symmetric equivalence-one-closed inf.cobounded2 univalent-conv-injective*)

lemma *root-transitive-successor-loop*:
univalent p \implies root p x = p^{T} * (root p x)*
by (*metis mult-1-right star-one star-simulation-right-equal root-successor-loop*)

end

context *stone-relation-algebra-tarski*
begin

Two basic results about points using the Tarski rule of relation algebras

lemma *point-in-vector-partition*:
assumes *point x*
and *vector y*
shows $x \leq -y \vee x \leq --y$
proof (*cases x * x^T \leq -y*)
case *True*
have $x \leq x * x^T * x$
by (*simp add: ex231c*)
also have $\dots \leq -y * x$
by (*simp add: True mult-left-isotone*)
also have $\dots \leq -y$
by (*metis assms(2) mult-right-isotone top.extremum vector-complement-closed*)
finally show *?thesis*
by *simp*

next
case *False*
have $x \leq x * x^T * x$
by (*simp add: ex231c*)
also have $\dots \leq --y * x$
using *False assms(1) arc-in-partition mult-left-isotone point-arc* **by** *blast*
also have $\dots \leq --y$
by (*metis assms(2) mult-right-isotone top.extremum vector-complement-closed*)
finally show *?thesis*
by *simp*

qed

lemma *point-atomic-vector*:
assumes *point x*
and *vector y*
and *regular y*
and $y \leq x$

```

shows  $y = x \vee y = \text{bot}$ 
proof (cases  $x \leq -y$ )
  case True
    thus ?thesis
    using assms(4) inf.absorb2 pseudo-complement by force
  next
    case False
    thus ?thesis
    using assms point-in-vector-partition by fastforce
qed

```

Theorem 4.3

lemma *distinct-points*:

```

assumes point x
  and point y
  and  $x \neq y$ 
shows  $x \sqcap y = \text{bot}$ 
by (metis assms antisym comp-bijective-complement
inf.sup-monoid.add-commute mult-left-one pseudo-complement regular-one-closed
point-in-vector-partition)

```

Back and von Wright's array independence requirements [1]

lemma *put-get-different*:

```

assumes point y point w w ≠ y
shows  $(x[y \mapsto z])[w] = x[w]$ 
proof –
  have  $(x[y \mapsto z])[w] = (y^T \sqcap z) * w \sqcup (-y^T \sqcap x^T) * w$ 
    by (simp add: conv-complement conv-dist-inf conv-dist-sup mult-right-dist-sup)
  also have  $\dots = z * (w \sqcap y) \sqcup x^T * (w \sqcap -y)$ 
    by (metis assms(1) conv-complement covector-inf-comp-3 inf-commute
vector-complement-closed)
  also have  $\dots = x^T * w$ 
proof –
  have  $1: w \sqcap y = \text{bot}$ 
    using assms distinct-points by simp
  hence  $w \leq -y$ 
    using pseudo-complement by simp
  thus ?thesis
    using  $1$  by (simp add: inf.absorb1)
qed
finally show ?thesis

```

qed

lemma *put-put-different*:

```

assumes point y point v v ≠ y
shows  $(x[y \mapsto z])[v \mapsto w] = (x[v \mapsto w])[y \mapsto z]$ 
proof –
  have  $(x[y \mapsto z])[v \mapsto w] = (v \sqcap w^T) \sqcup (-v \sqcap y \sqcap z^T) \sqcup (-v \sqcap -y \sqcap x)$ 

```



```

    by (simp add: comp-inf.semiring.distrib-left inf-assoc sup-assoc)
  also have ... = (v  $\sqcap$  wT)  $\sqcup$  (y  $\sqcap$  zT)  $\sqcup$  (-v  $\sqcap$  -y  $\sqcap$  x)
    using assms distinct-points pseudo-complement inf.absorb2 by simp
  also have ... = (y  $\sqcap$  zT)  $\sqcup$  (v  $\sqcap$  wT)  $\sqcup$  (-y  $\sqcap$  -v  $\sqcap$  x)
    by (simp add: inf-commute sup-commute)
  also have ... = (y  $\sqcap$  zT)  $\sqcup$  (-y  $\sqcap$  v  $\sqcap$  wT)  $\sqcup$  (-y  $\sqcap$  -v  $\sqcap$  x)
    using assms distinct-points pseudo-complement inf.absorb2 by simp
  also have ... = (x[v $\mapsto$ w])[y $\mapsto$ z]
    by (simp add: comp-inf.semiring.distrib-left inf-assoc sup-assoc)
  finally show ?thesis
.
qed
end

```

4 Verifying Operations on Disjoint-Set Forests

In this section we verify the make-set, find-set and union-sets operations of disjoint-set forests. We start by introducing syntax for updating arrays in programs. Updating the value at a given array index means updating the whole array.

syntax

```
-rel-update :: idt  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'b com (( $\lambda$ [-] :=/ -) [70, 65, 65] 61)
```

translations

```
x[y] := z  $\Rightarrow$  (x := (y  $\sqcap$  zT)  $\sqcup$  (CONST uminus y  $\sqcap$  x))
```

The finiteness requirement in the following class is used for proving that the operations terminate.

```
class finite-regular-p-algebra = p-algebra +
  assumes finite-regular: finite { x . regular x }
```

```
class stone-kleene-relation-algebra-tarski = stone-kleene-relation-algebra +
  stone-relation-algebra-tarski
```

```
class stone-kleene-relation-algebra-tarski-finite-regular =
  stone-kleene-relation-algebra-tarski + finite-regular-p-algebra
begin
```

4.1 Make-Set

We prove two correctness results about make-set. The first shows that the forest changes only to the extent of making one node the root of a tree. The second result adds that only singleton sets are created.

definition *make-set-postcondition* p x $p0 \equiv x \sqcap p = x * x^T \wedge -x \sqcap p = -x \sqcap p0$

theorem *make-set:*

```

VARS p
[ point x  $\wedge$  p0 = p ]
p[x] := x
[ make-set-postcondition p x p0 ]
apply vcg-tc-simp
by (simp add: make-set-postcondition-def inf-sup-distrib1 inf-assoc[THEN sym]
vector-covector[THEN sym])

```

theorem make-set-2:

```

VARS p
[ point x  $\wedge$  p0 = p  $\wedge$  p  $\leq$  1 ]
p[x] := x
[ make-set-postcondition p x p0  $\wedge$  p  $\leq$  1 ]
proof vcg-tc
fix p
assume 1: point x  $\wedge$  p0 = p  $\wedge$  p  $\leq$  1
show make-set-postcondition (p[x $\mapsto$ x]) x p0  $\wedge$  p[x $\mapsto$ x]  $\leq$  1
proof (rule conjI)
show make-set-postcondition (p[x $\mapsto$ x]) x p0
using 1 by (simp add: make-set-postcondition-def inf-sup-distrib1
inf-assoc[THEN sym] vector-covector[THEN sym])
show p[x $\mapsto$ x]  $\leq$  1
using 1 by (metis coreflexive-sup-closed dual-order.trans inf.cobounded2
vector-covector)
qed
qed

```

The above total-correctness proof allows us to extract a function, which can be used in other implementations below. This is a technique of [10].

lemma make-set-exists:

```

point x  $\implies$   $\exists$  p' . make-set-postcondition p' x p
using tc-extract-function make-set by blast

```

definition make-set p x \equiv (SOME p' . make-set-postcondition p' x p)

lemma make-set-function:

```

assumes point x
and p' = make-set p x
shows make-set-postcondition p' x p
proof -
let ?P =  $\lambda$ p' . make-set-postcondition p' x p
have ?P (SOME z . ?P z)
using assms(1) make-set-exists by (meson someI)
thus ?thesis
using assms(2) make-set-def by auto
qed

```

4.2 Find-Set

Disjoint-set forests are represented by their parent mapping. It is a forest except each root of a component tree points to itself.

We prove that `find-set` returns the root of the component tree of the given node.

abbreviation *disjoint-set-forest* $p \equiv \text{mapping } p \wedge \text{acyclic } (p \sqcap -1)$

definition *find-set-precondition* $p \ x \equiv \text{disjoint-set-forest } p \wedge \text{point } x$

definition *find-set-invariant* $p \ x \ y \equiv \text{find-set-precondition } p \ x \wedge \text{point } y \wedge y \leq p^{T^*} * x$

definition *find-set-postcondition* $p \ x \ y \equiv \text{point } y \wedge y = \text{root } p \ x$

lemma *find-set-1*:

find-set-precondition $p \ x \implies \text{find-set-invariant } p \ x \ x$

apply (*unfold find-set-invariant-def*)

using *mult-left-isotone star.circ-reflexive find-set-precondition-def* **by** *fastforce*

lemma *find-set-2*:

find-set-invariant $p \ x \ y \wedge y \neq p[[y]] \wedge \text{card } \{ z . \text{regular } z \wedge z \leq p^{T^*} * y \} = n$
 $\implies \text{find-set-invariant } p \ x \ (p[[y]]) \wedge \text{card } \{ z . \text{regular } z \wedge z \leq p^{T^*} * (p[[y]]) \} < n$

proof –

let $?s = \{ z . \text{regular } z \wedge z \leq p^{T^*} * y \}$

let $?t = \{ z . \text{regular } z \wedge z \leq p^{T^*} * (p[[y]]) \}$

assume 1: *find-set-invariant* $p \ x \ y \wedge y \neq p[[y]] \wedge \text{card } ?s = n$

hence 2: *point* $(p[[y]])$

using *read-point find-set-invariant-def find-set-precondition-def* **by** *simp*

show *find-set-invariant* $p \ x \ (p[[y]]) \wedge \text{card } ?t < n$

proof (*unfold find-set-invariant-def, intro conjI*)

show *find-set-precondition* $p \ x$

using 1 *find-set-invariant-def* **by** *simp*

show *vector* $(p[[y]])$

using 2 **by** *simp*

show *injective* $(p[[y]])$

using 2 **by** *simp*

show *surjective* $(p[[y]])$

using 2 **by** *simp*

show $p[[y]] \leq p^{T^*} * x$

using 1 **by** (*metis (hide-lams) find-set-invariant-def comp-associative comp-isotone star.circ-increasing star.circ-transitive-equal*)

show $\text{card } ?t < n$

proof –

have 3: $(p^T \sqcap -1) * (p^T \sqcap -1)^+ * y \leq (p^T \sqcap -1)^+ * y$

by (*simp add: mult-left-isotone mult-right-isotone star.left-plus-below-circ*)

have $p[[y]] = (p^T \sqcap 1) * y \sqcup (p^T \sqcap -1) * y$

by (*metis maddux-3-11-pp mult-right-dist-sup regular-one-closed*)

also have $\dots \leq ((p[[y]]) \sqcap y) \sqcup (p^T \sqcap -1) * y$

by (*metis comp-left-subdist-inf mult-1-left semiring.add-right-mono*)

also have $\dots = (p^T \sqcap -1) * y$

using 1 2 *find-set-invariant-def distinct-points* **by** *auto*

```

finally have 4:  $(p^T \sqcap -1)^* * (p[[y]]) \leq (p^T \sqcap -1)^+ * y$ 
  using 3 by (metis inf.antisym-conv inf.eq-refl inf-le1 mult-left-isotone
star-plus mult-assoc)
  hence  $p^{T*} * (p[[y]]) \leq p^{T*} * y$ 
  by (metis mult-isotone order-refl star.left-plus-below-circ star-plus
mult-assoc)
  hence 5:  $?t \subseteq ?s$ 
  using order-trans by auto
  have 6:  $y \in ?s$ 
  using 1 find-set-invariant-def bijective-regular mult-left-isotone
star.circ-reflexive by fastforce
  have 7:  $\neg y \in ?t$ 
  proof
    assume  $y \in ?t$ 
    hence  $y \leq (p^T \sqcap -1)^+ * y$ 
    using 4 by (metis reachable-without-loops mem-Collect-eq order-trans)
    hence  $y * y^T \leq (p^T \sqcap -1)^+$ 
    using 1 find-set-invariant-def shunt-bijective by simp
    also have  $\dots \leq -1$ 
    using 1 by (metis (mono-tags, lifting) find-set-invariant-def
find-set-precondition-def conv-dist-comp conv-dist-inf conv-isotone
conv-star-commute equivalence-one-closed star.circ-plus-same
symmetric-complement-closed)
    finally have  $y \leq -y$ 
    using schroeder-4-p by auto
    thus False
    using 1 by (metis find-set-invariant-def comp-inf.coreflexive-idempotent
conv-complement covector-vector-comp inf.absorb1 inf.sup-monoid.add-commute
pseudo-complement surjective-conv-total top.extremum vector-top-closed
regular-closed-top)
  qed
  have  $\text{card } ?t < \text{card } ?s$ 
  apply (rule psubset-card-mono)
  subgoal using finite-regular by simp
  subgoal using 5 6 7 by auto
  done
  thus ?thesis
  using 1 by simp
qed
qed
qed

```

lemma *find-set-3*:

find-set-invariant $p \ x \ y \wedge y = p[[y]] \implies \text{find-set-postcondition } p \ x \ y$

proof –

assume 1: *find-set-invariant* $p \ x \ y \wedge y = p[[y]]$

show *find-set-postcondition* $p \ x \ y$

proof (*unfold find-set-postcondition-def, rule conjI*)

show *point* y

```

    using 1 find-set-invariant-def by simp
  show  $y = \text{root } p \ x$ 
  proof (rule antisym)
    have  $y * y^T \leq p$ 
      using 1 by (metis find-set-invariant-def find-set-precondition-def
        shunt-bijective shunt-mapping top-right-mult-increasing)
    hence  $y * y^T \leq p \sqcap 1$ 
      using 1 find-set-invariant-def le-infI by blast
    hence  $y \leq (p \sqcap 1) * \text{top}$ 
      using 1 by (metis find-set-invariant-def order-lesseq-imp shunt-bijective
        top-right-mult-increasing mult-assoc)
    thus  $y \leq \text{root } p \ x$ 
      using 1 find-set-invariant-def by simp
  next
    have 2:  $x \leq p^* * y$ 
      using 1 find-set-invariant-def find-set-precondition-def bijective-reverse
        conv-star-commute by auto
    have  $p^T * p^* * y = p^T * p * p^* * y \sqcup (p[[y]])$ 
      by (metis comp-associative mult-left-dist-sup star.circ-loop-fixpoint)
    also have  $\dots \leq p^* * y \sqcup y$ 
      using 1 by (metis find-set-invariant-def find-set-precondition-def
        comp-isotone mult-left-sub-dist-sup semiring.add-right-mono
        star.circ-back-loop-fixpoint star.circ-circ-mult star.circ-top
        star.circ-transitive-equal star-involutive star-one)
    also have  $\dots = p^* * y$ 
      by (metis star.circ-loop-fixpoint sup.left-idem sup-commute)
    finally have 3:  $p^{T*} * x \leq p^* * y$ 
      using 2 by (simp add: comp-associative star-left-induct)
    have  $p * y \sqcap (p \sqcap 1) * \text{top} = (p \sqcap 1) * p * y$ 
      using comp-associative coreflexive-comp-top-inf inf-commute by auto
    also have  $\dots \leq p^T * p * y$ 
      by (metis inf.cobounded2 inf.sup-monoid.add-commute mult-left-isotone
        one-inf-conv)
    also have  $\dots \leq y$ 
      using 1 find-set-invariant-def find-set-precondition-def mult-left-isotone by
        fastforce
    finally have 4:  $p * y \leq y \sqcup -((p \sqcap 1) * \text{top})$ 
      using 1 by (metis find-set-invariant-def shunting-p bijective-regular)
    have  $p^T * (p \sqcap 1) \leq p^T \sqcap 1$ 
      using 1 by (metis find-set-invariant-def find-set-precondition-def N-top
        comp-isotone coreflexive-idempotent inf.cobounded2 inf.sup-monoid.add-commute
        inf-assoc one-inf-conv shunt-mapping)
    hence  $p^T * (p \sqcap 1) * \text{top} \leq (p \sqcap 1) * \text{top}$ 
      using inf-commute mult-isotone one-inf-conv by auto
    hence  $p * -((p \sqcap 1) * \text{top}) \leq -((p \sqcap 1) * \text{top})$ 
      by (metis comp-associative inf.sup-monoid.add-commute p-antitone
        p-antitone-iff schroeder-3-p)
    hence  $p * y \sqcup p * -((p \sqcap 1) * \text{top}) \leq y \sqcup -((p \sqcap 1) * \text{top})$ 
      using 4 dual-order.trans le-supI sup-ge2 by blast

```

```

hence  $p * (y \sqcup -((p \sqcap 1) * top)) \leq y \sqcup -((p \sqcap 1) * top)$ 
by (simp add: mult-left-dist-sup)
hence  $p^* * y \leq y \sqcup -((p \sqcap 1) * top)$ 
by (simp add: star-left-induct)
hence  $p^{T^*} * x \leq y \sqcup -((p \sqcap 1) * top)$ 
using 3 dual-order.trans by blast
thus  $root\ p\ x \leq y$ 
using 1 by (metis find-set-invariant-def shunting-p bijective-regular)
qed
qed
qed

```

theorem *find-set*:

```

VARS  $y$ 
[ find-set-precondition  $p\ x$  ]
 $y := x$ ;
WHILE  $y \neq p[[y]]$ 
  INV { find-set-invariant  $p\ x\ y$  }
  VAR { card {  $z . regular\ z \wedge z \leq p^{T^*} * y$  } }
  DO  $y := p[[y]]$ 
  OD
[ find-set-postcondition  $p\ x\ y$  ]
apply vcg-tc-simp
apply (fact find-set-1)
apply (fact find-set-2)
by (fact find-set-3)

```

lemma *find-set-exists*:

```

find-set-precondition  $p\ x \implies \exists y . find-set-postcondition\ p\ x\ y$ 
using tc-extract-function find-set by blast

```

The root of a component tree is a point, that is, represents a singleton set of nodes. This could be proved from the definitions using Kleene-relation algebraic calculations. But they can be avoided because the property directly follows from the postcondition of the previous correctness proof. The corresponding algorithm shows how to obtain the root. We therefore have an essentially constructive proof of the following result.

Theorem 3.3

lemma *root-point*:

```

disjoint-set-forest  $p \implies point\ x \implies point\ (root\ p\ x)$ 
using find-set-exists find-set-precondition-def find-set-postcondition-def by simp

```

definition *find-set* $p\ x \equiv (SOME\ y . find-set-postcondition\ p\ x\ y)$

lemma *find-set-function*:

```

assumes find-set-precondition  $p\ x$ 
and  $y = find-set\ p\ x$ 
shows find-set-postcondition  $p\ x\ y$ 

```

by (metis assms find-set-def find-set-exists someI)

4.3 Path Compression

The path-compression technique is frequently implemented in recursive implementations of find-set modifying the tree on the way out from recursive calls. Here we implement it using a second while-loop, which iterates over the same path to the root and changes edges to point to the root of the component, which is known after the while-loop in find-set completes. We prove that path compression preserves the equivalence-relational semantics of the disjoint-set forest and also preserves the roots of the component trees.

definition *path-compression-precondition* $p\ x\ y \equiv \text{disjoint-set-forest } p \wedge \text{point } x \wedge \text{point } y \wedge y = \text{root } p\ x$

definition *path-compression-invariant* $p\ x\ y\ p0\ w \equiv$
path-compression-precondition $p\ x\ y \wedge \text{point } w \wedge y \leq p^{T^*} * w \wedge$
 $(w \neq x \longrightarrow p[[x]] = y \wedge y \neq x \wedge p^{T^+} * w \leq -x) \wedge p \sqcap 1 = p0 \sqcap 1 \wedge \text{fc } p = \text{fc } p0$

definition *path-compression-postcondition* $p\ x\ y\ p0 \equiv$
path-compression-precondition $p\ x\ y \wedge p \sqcap 1 = p0 \sqcap 1 \wedge \text{fc } p = \text{fc } p0$

lemma *path-compression-1:*

path-compression-precondition $p\ x\ y \wedge p0 = p \implies \text{path-compression-invariant } p\ x\ y\ p0$

using *path-compression-invariant-def path-compression-precondition-def* by *auto*

lemma *path-compression-2:*

path-compression-invariant $p\ x\ y\ p0\ w \wedge y \neq p[[w]] \wedge \text{card } \{ z . \text{regular } z \wedge z \leq p^{T^*} * w \} = n$

$\implies \text{path-compression-invariant } (p[w \mapsto y])\ x\ y\ p0\ (p[[w]]) \wedge \text{card } \{ z . \text{regular } z \wedge z \leq (p[w \mapsto y])^{T^*} * (p[[w]]) \} < n$

proof –

let $?p = p[w \mapsto y]$

let $?s = \{ z . \text{regular } z \wedge z \leq p^{T^*} * w \}$

let $?t = \{ z . \text{regular } z \wedge z \leq ?p^{T^*} * (p[[w]]) \}$

assume 1: *path-compression-invariant* $p\ x\ y\ p0\ w \wedge y \neq p[[w]] \wedge \text{card } ?s = n$

hence 2: *point* $(p[[w]])$

by (*simp add: path-compression-invariant-def path-compression-precondition-def read-point*)

show *path-compression-invariant* $?p\ x\ y\ p0\ (p[[w]]) \wedge \text{card } ?t < n$

proof (*unfold path-compression-invariant-def, intro conjI*)

have 3: *mapping* $?p$

using 1 by (*meson path-compression-invariant-def*)

path-compression-precondition-def update-mapping bijective-regular)

have 4: $w \neq y$

using 1 by (*metis (no-types, hide-lams) path-compression-invariant-def*)

path-compression-precondition-def root-successor-loop)

hence 5: $w \sqcap y = \text{bot}$

using 1 *distinct-points path-compression-invariant-def*
path-compression-precondition-def **by** *auto*
hence $y * w^T \leq -1$
using *pseudo-complement schroeder-4-p* **by** *auto*
hence $y * w^T \leq p^{T*} \sqcap -1$
using 1 *shunt-bijective path-compression-invariant-def* **by** *auto*
also have $\dots \leq p^{T+}$
by (*simp add: star-plus-without-loops*)
finally have 6: $y \leq p^{T+} * w$
using 1 *shunt-bijective path-compression-invariant-def* **by** *blast*
have 7: $w * w^T \leq -p^{T+}$
proof (*rule ccontr*)
assume $\neg w * w^T \leq -p^{T+}$
hence $w * w^T \leq --p^{T+}$
using 1 *path-compression-invariant-def point-arc arc-in-partition* **by** *blast*
hence $w * w^T \leq p^{T+} \sqcap 1$
using 1 *path-compression-invariant-def path-compression-precondition-def*
mapping-regular regular-conv-closed regular-closed-star regular-mult-closed **by**
simp
also have $\dots = ((p^T \sqcap 1) * p^{T*} \sqcap 1) \sqcup ((p^T \sqcap -1) * p^{T*} \sqcap 1)$
by (*metis comp-inf.mult-right-dist-sup maddux-3-11-pp mult-right-dist-sup*
regular-one-closed)
also have $\dots = ((p^T \sqcap 1) * p^{T*} \sqcap 1) \sqcup ((p \sqcap -1)^+ \sqcap 1)^T$
by (*metis conv-complement conv-dist-inf conv-plus-commute*
equivalence-one-closed reachable-without-loops)
also have $\dots \leq ((p^T \sqcap 1) * p^{T*} \sqcap 1) \sqcup (-1 \sqcap 1)^T$
using 1 **by** (*metis (no-types, hide-lams) path-compression-invariant-def*
path-compression-precondition-def sup-right-isotone inf.sup-left-isotone
conv-isotone)
also have $\dots = (p^T \sqcap 1) * p^{T*} \sqcap 1$
by *simp*
also have $\dots \leq (p^T \sqcap 1) * top \sqcap 1$
by (*metis comp-inf.comp-isotone coreflexive-comp-top-inf*
equivalence-one-closed inf.cobounded1 inf.cobounded2)
also have $\dots \leq p^T$
by (*simp add: coreflexive-comp-top-inf-one*)
finally have $w * w^T \leq p^T$
by *simp*
hence $w \leq p[[w]]$
using 1 *path-compression-invariant-def shunt-bijective* **by** *blast*
hence $w = p[[w]]$
using 1 2 *path-compression-invariant-def epm-3* **by** *fastforce*
hence $w = p^{T+} * w$
using 2 **by** (*metis comp-associative star.circ-top*
star-simulation-right-equal)
thus *False*
using 1 4 6 *epm-3 path-compression-invariant-def*
path-compression-precondition-def **by** *fastforce*
qed

hence $\delta: w \sqcap p^{T+} * w = \text{bot}$
 using *p-antitone-iff pseudo-complement schroeder-4-p* by *blast*
 show $y \leq ?p^{T*} * (p[[w]])$
 proof –
 have $(w \sqcap y^T)^T * (-w \sqcap p)^{T*} * p^T * w \leq w^T * (-w \sqcap p)^{T*} * p^T * w$
 by (*simp add: conv-isotone mult-left-isotone*)
 also have $\dots \leq w^T * p^{T*} * p^T * w$
 by (*simp add: conv-isotone mult-left-isotone star-isotone mult-right-isotone*)
 also have $\dots = w^T * p^{T+} * w$
 by (*simp add: star-plus mult-assoc*)
 also have $\dots = \text{bot}$
 using 1 8 by (*metis (no-types, hide-lams) path-compression-invariant-def*
covector-inf-comp-3 mult-assoc conv-dist-comp conv-star-commute
covector-bot-closed equivalence-top-closed inf.le-iff-sup mult-left-isotone)
 finally have $((w \sqcap y^T)^T \sqcup (-w \sqcap p)^T) * (-w \sqcap p)^{T*} * p^T * w \leq (-w \sqcap p)^{T*} * (-w \sqcap p)^{T*} * p^T * w$
 by (*simp add: bot-unique mult-right-dist-sup*)
 also have $\dots \leq (-w \sqcap p)^{T*} * p^T * w$
 by (*simp add: mult-left-isotone star.left-plus-below-circ*)
 finally have $?p^T * (-w \sqcap p)^{T*} * p^T * w \leq (-w \sqcap p)^{T*} * p^T * w$
 by (*simp add: conv-dist-sup*)
 hence $?p^{T*} * p^T * w \leq (-w \sqcap p)^{T*} * p^T * w$
 by (*metis comp-associative star.circ-loop-fixpoint star-left-induct*
sup-commute sup-least sup-left-divisibility)
 hence $w \sqcap ?p^{T*} * p^T * w \leq w \sqcap (-w \sqcap p)^{T*} * p^T * w$
 using *inf.sup-right-isotone* by *blast*
 also have $\dots \leq w \sqcap p^{T*} * p^T * w$
 using *conv-isotone mult-left-isotone star-isotone inf.sup-right-isotone* by
simp
 also have $\dots = \text{bot}$
 using 8 by (*simp add: star-plus*)
 finally have 9: $w^T * ?p^{T*} * p^T * w = \text{bot}$
 using 1 by (*metis (no-types, hide-lams) path-compression-invariant-def*
covector-inf-comp-3 mult-assoc conv-dist-comp covector-bot-closed
equivalence-top-closed inf.le-iff-sup mult-left-isotone bot-least inf.absorb1)
 have $p^T * ?p^{T*} * p^T * w = ((w \sqcap p)^T \sqcup (-w \sqcap p)^T) * ?p^{T*} * p^T * w$
 using 1 by (*metis (no-types, lifting) bijective-regular conv-dist-sup*
inf-commute maddux-3-11-pp path-compression-invariant-def)
 also have $\dots = (w \sqcap p)^T * ?p^{T*} * p^T * w \sqcup (-w \sqcap p)^T * ?p^{T*} * p^T * w$
 by (*simp add: mult-right-dist-sup*)
 also have $\dots \leq w^T * ?p^{T*} * p^T * w \sqcup (-w \sqcap p)^T * ?p^{T*} * p^T * w$
 using *semiring.add-right-mono comp-isotone conv-isotone* by *auto*
 also have $\dots = (-w \sqcap p)^T * ?p^{T*} * p^T * w$
 using 9 by *simp*
 also have $\dots \leq ?p^{T+} * p^T * w$
 by (*simp add: conv-isotone mult-left-isotone*)
 also have $\dots \leq ?p^{T*} * p^T * w$
 by (*simp add: comp-isotone star.left-plus-below-circ*)
 finally have $p^{T*} * p^T * w \leq ?p^{T*} * p^T * w$

by (*metis comp-associative star.circ-loop-fixpoint star-left-induct
sup-commute sup-least sup-left-divisibility*)
thus $y \leq ?p^{T*} * (p[[w]])$
using 6 **by** (*simp add: star-simulation-right-equal mult-assoc*)
qed
have 10: *acyclic* ($?p \sqcap -1$)
using 1 *update-acyclic-1 path-compression-invariant-def
path-compression-precondition-def* **by** *auto*
have $?p[[p^{T+} * w]] \leq p^{T+} * w$
proof –
have $(w^T \sqcap y) * p^{T+} * w = y \sqcap w^T * p^{T+} * w$
using 1 **by** (*metis (no-types, hide-lams) path-compression-invariant-def
path-compression-precondition-def inf-commute vector-inf-comp*)
hence $?p[[p^{T+} * w]] = (y \sqcap w^T * p^{T+} * w) \sqcup (-w^T \sqcap p^T) * p^{T+} * w$
by (*simp add: comp-associative conv-complement conv-dist-inf
conv-dist-sup mult-right-dist-sup*)
also **have** $\dots \leq y \sqcup (-w^T \sqcap p^T) * p^{T+} * w$
using *sup-left-isotone* **by** *auto*
also **have** $\dots \leq y \sqcup p^T * p^{T+} * w$
using *mult-left-isotone sup-right-isotone* **by** *auto*
also **have** $\dots \leq y \sqcup p^{T+} * w$
using *semiring.add-left-mono mult-left-isotone mult-right-isotone
star.left-plus-below-circ* **by** *auto*
also **have** $\dots = p^{T+} * w$
using 6 **by** (*simp add: sup-absorb2*)
finally **show** *?thesis*
by *simp*
qed
hence 11: $?p^{T*} * (p[[w]]) \leq p^{T+} * w$
using *star-left-induct* **by** (*simp add: mult-left-isotone
star.circ-mult-increasing*)
hence 12: $?p^{T+} * (p[[w]]) \leq p^{T+} * w$
using *dual-order.trans mult-left-isotone star.left-plus-below-circ* **by** *blast*
have 13: $?p[[x]] = y \wedge y \neq x \wedge ?p^{T+} * (p[[w]]) \leq -x$
proof (*cases w = x*)
case *True*
hence $?p[[x]] = (w^T \sqcap y) * w \sqcup (-w^T \sqcap p^T) * w$
by (*simp add: conv-complement conv-dist-inf conv-dist-sup
mult-right-dist-sup*)
also **have** $\dots = (w^T \sqcap y) * w \sqcup p^T * (-w \sqcap w)$
using 1 **by** (*metis (no-types, lifting) conv-complement
inf.sup-monoid.add-commute path-compression-invariant-def covector-inf-comp-3
vector-complement-closed*)
also **have** $\dots = (w^T \sqcap y) * w$
by *simp*
also **have** $\dots = y * w$
using 1 *inf.sup-monoid.add-commute path-compression-invariant-def
covector-inf-comp-3* **by** *simp*
also **have** $\dots = y$

```

    using 1 by (metis comp-associative path-compression-precondition-def
path-compression-invariant-def)
    finally show ?thesis
    using 4 8 12 True pseudo-complement inf.sup-monoid.add-commute
order.trans by blast
next
case False
have ?p[[x]] = (wT ⊓ y) * x ⊔ (-wT ⊓ pT) * x
by (simp add: conv-complement conv-dist-inf conv-dist-sup
mult-right-dist-sup)
also have ... = y * (w ⊓ x) ⊔ pT * (-w ⊓ x)
using 1 by (metis (no-types, lifting) conv-complement
inf.sup-monoid.add-commute path-compression-invariant-def covector-inf-comp-3
vector-complement-closed)
also have ... = pT * (-w ⊓ x)
using 1 False path-compression-invariant-def
path-compression-precondition-def distinct-points by auto
also have ... = y
using 1 False path-compression-invariant-def
path-compression-precondition-def distinct-points inf.absorb2 pseudo-complement
by auto
finally show ?thesis
using 1 12 False path-compression-invariant-def by auto
qed
thus p[[w]] ≠ x → ?p[[x]] = y ∧ y ≠ x ∧ ?pT+ * (p[[w]]) ≤ -x
by simp
have 14: ?pT* * x = x ⊔ y
proof (rule antisym)
have ?pT * (x ⊔ y) = y ⊔ ?pT * y
using 13 by (simp add: mult-left-dist-sup)
also have ... = y ⊔ (wT ⊓ y) * y ⊔ (-wT ⊓ pT) * y
by (simp add: conv-complement conv-dist-inf conv-dist-sup
mult-right-dist-sup sup-assoc)
also have ... ≤ y ⊔ (wT ⊓ y) * y ⊔ pT * y
using mult-left-isotone sup-right-isotone by auto
also have ... = y ⊔ (wT ⊓ y) * y
using 1 by (smt sup.cobounded1 sup-absorb1
path-compression-invariant-def path-compression-precondition-def
root-successor-loop)
also have ... ≤ y ⊔ y * y
using mult-left-isotone sup-right-isotone by auto
also have ... = y
using 1 by (metis mult-semi-associative sup-absorb1
path-compression-invariant-def path-compression-precondition-def)
also have ... ≤ x ⊔ y
by simp
finally show ?pT* * x ≤ x ⊔ y
by (simp add: star-left-induct)
next

```

```

    show  $x \sqcup y \leq ?p^{T^*} * x$ 
      using 13 by (metis mult-left-isotone star.circ-increasing
star.circ-loop-fixpoint sup.boundedI sup-ge2)
    qed
    have 15:  $y = \text{root } ?p \ x$ 
    proof -
      have  $(p \sqcap 1) * y = (p \sqcap 1) * (p \sqcap 1) * p^{T^*} * x$ 
        using 1 path-compression-invariant-def path-compression-precondition-def
root-var mult-assoc by auto
      also have  $\dots = (p \sqcap 1) * p^{T^*} * x$ 
        using coreflexive-idempotent by auto
      finally have 16:  $(p \sqcap 1) * y = y$ 
        using 1 path-compression-invariant-def path-compression-precondition-def
root-var by auto
      have 17:  $(p \sqcap 1) * x \leq y$ 
        using 1 by (metis (no-types, lifting) comp-right-one mult-left-isotone
mult-right-isotone star.circ-reflexive path-compression-invariant-def
path-compression-precondition-def root-var)
      have root ?p  $x = (?p \sqcap 1) * (x \sqcup y)$ 
        using 14 by (metis mult-assoc root-var)
      also have  $\dots = (w \sqcap y^T \sqcap 1) * (x \sqcup y) \sqcup (-w \sqcap p \sqcap 1) * (x \sqcup y)$ 
        by (simp add: inf-sup-distrib2 semiring.distrib-right)
      also have  $\dots = (w \sqcap 1 \sqcap y^T) * (x \sqcup y) \sqcup (-w \sqcap p \sqcap 1) * (x \sqcup y)$ 
        by (simp add: inf.left-commute inf.sup-monoid.add-commute)
      also have  $\dots = (w \sqcap 1) * (y \sqcap (x \sqcup y)) \sqcup (-w \sqcap p \sqcap 1) * (x \sqcup y)$ 
        using 1 by (metis (no-types, lifting) path-compression-invariant-def
path-compression-precondition-def covector-inf-comp-3)
      also have  $\dots = (w \sqcap 1) * y \sqcup (-w \sqcap p \sqcap 1) * (x \sqcup y)$ 
        by (simp add: inf.absorb1)
      also have  $\dots = (w \sqcap 1 * y) \sqcup (-w \sqcap (p \sqcap 1) * (x \sqcup y))$ 
        using 1 by (metis (no-types, lifting) inf-assoc vector-complement-closed
path-compression-invariant-def vector-inf-comp)
      also have  $\dots = (w \sqcap y) \sqcup (-w \sqcap ((p \sqcap 1) * (x \sqcup y)))$ 
        using 16 by (simp add: mult-left-dist-sup)
      also have  $\dots = (w \sqcap y) \sqcup (-w \sqcap y)$ 
        using 17 by (simp add: sup.absorb2)
      also have  $\dots = y$ 
        using 1 by (metis id-apply bijective-regular comp-inf.mult-right-dist-sup
comp-inf.vector-conv-covector inf-top.right-neutral regular-complement-top
path-compression-invariant-def)
      finally show ?thesis
        by simp
    qed
    show path-compression-precondition ?p  $x \ y$ 
      using 1 3 10 15 path-compression-invariant-def
path-compression-precondition-def by auto
    show vector (p[[w]])
      using 2 by simp
    show injective (p[[w]])

```

```

    using 2 by simp
  show surjective (p[[w]])
    using 2 by simp
  have w  $\sqcap$  p  $\sqcap$  1  $\leq$  w  $\sqcap$  wT  $\sqcap$  p
    by (metis inf.boundedE inf.boundedI inf.cobounded1 inf.cobounded2
one-inf-conv)
  also have ... = w * wT  $\sqcap$  p
    using 1 vector-covector path-compression-invariant-def by auto
  also have ...  $\leq$  -pT+  $\sqcap$  p
    using 7 by (simp add: inf.coboundedI2 inf.sup-monoid.add-commute)
  finally have w  $\sqcap$  p  $\sqcap$  1 = bot
    by (metis (no-types, hide-lams) conv-dist-inf coreflexive-symmetric
inf.absorb1 inf.boundedE inf.cobounded2 pseudo-complement
star.circ-mult-increasing)
  also have w  $\sqcap$  yT  $\sqcap$  1 = bot
    using 5 antisymmetric-bot-closed asymmetric-bot-closed comp-inf.schroeder-2
inf.absorb1 one-inf-conv by fastforce
  finally have w  $\sqcap$  p  $\sqcap$  1 = w  $\sqcap$  yT  $\sqcap$  1
    by simp
  thus ?p  $\sqcap$  1 = p0  $\sqcap$  1
    using 1 by (metis bijective-regular comp-inf.semiring.distrib-left
inf.sup-monoid.add-commute maddux-3-11-pp path-compression-invariant-def)
  show fc ?p = fc p0
  proof -
    have p[[w]] = pT * (w  $\sqcap$  p* * y)
      using 1 by (metis (no-types, lifting) bijective-reverse conv-star-commute
inf.absorb1 path-compression-invariant-def path-compression-precondition-def)
    also have ... = pT * (w  $\sqcap$  p*) * y
      using 1 vector-inf-comp path-compression-invariant-def mult-assoc by auto
    also have ... = pT * ((w  $\sqcap$  1)  $\sqcup$  (w  $\sqcap$  p) * (-w  $\sqcap$  p)*) * y
      using 1 omit-redundant-points path-compression-invariant-def by auto
    also have ... = pT * (w  $\sqcap$  1) * y  $\sqcup$  pT * (w  $\sqcap$  p) * (-w  $\sqcap$  p) * y
      by (simp add: comp-associative mult-left-dist-sup mult-right-dist-sup)
    also have ...  $\leq$  pT * y  $\sqcup$  pT * (w  $\sqcap$  p) * (-w  $\sqcap$  p) * y
      by (metis semiring.add-right-mono comp-isotone eq-iff inf.cobounded1
inf.sup-monoid.add-commute mult-1-right)
    also have ... = y  $\sqcup$  pT * (w  $\sqcap$  p) * (-w  $\sqcap$  p) * y
      using 1 path-compression-invariant-def path-compression-precondition-def
root-successor-loop by fastforce
    also have ...  $\leq$  y  $\sqcup$  pT * p * (-w  $\sqcap$  p) * y
      using comp-isotone sup-right-isotone by auto
    also have ...  $\leq$  y  $\sqcup$  (-w  $\sqcap$  p) * y
      using 1 by (metis (no-types, lifting) mult-left-isotone star.circ-circ-mult
star-involutive star-one sup-right-isotone path-compression-invariant-def
path-compression-precondition-def)
    also have ... = (-w  $\sqcap$  p) * y
      by (metis star.circ-loop-fixpoint sup.left-idem sup-commute)
    finally have 18: p[[w]]  $\leq$  (-w  $\sqcap$  p) * y
      by simp
  
```

have $p^T * (-w \sqcap p)^* * y = p^T * y \sqcup p^T * (-w \sqcap p) * (-w \sqcap p)^* * y$
by (*metis comp-associative mult-left-dist-sup star.circ-loop-fixpoint*
sup-commute)
also have $\dots = y \sqcup p^T * (-w \sqcap p) * (-w \sqcap p)^* * y$
using 1 (*path-compression-invariant-def path-compression-precondition-def*
root-successor-loop **by** *fastforce*)
also have $\dots \leq y \sqcup p^T * p * (-w \sqcap p)^* * y$
using *comp-isotone sup-right-isotone* **by** *auto*
also have $\dots \leq y \sqcup (-w \sqcap p)^* * y$
using 1 **by** (*metis (no-types, lifting) mult-left-isotone star.circ-circ-mult*
star-involutive star-one sup-right-isotone path-compression-invariant-def
path-compression-precondition-def)
also have $\dots = (-w \sqcap p)^* * y$
by (*metis star.circ-loop-fixpoint sup.left-idem sup-commute*)
finally have 19: $p^{T*} * p^T * w \leq (-w \sqcap p)^* * y$
using 18 **by** (*simp add: comp-associative star-left-induct*)
have $w^T \sqcap p^T = p^T * (w^T \sqcap 1)$
using 1 **by** (*metis conv-dist-comp conv-dist-inf equivalence-one-closed*
vector-inf-one-comp path-compression-invariant-def)
also have $\dots \leq p[[w]]$
by (*metis comp-right-subdist-inf inf.boundedE*
inf.sup-monoid.add-commute one-inf-conv)
also have $\dots \leq p^{T*} * p^T * w$
by (*simp add: mult-left-isotone star.circ-mult-increasing-2*)
also have $\dots \leq (-w \sqcap p)^* * y$
using 19 **by** *simp*
finally have $w \sqcap p \leq y^T * (-w \sqcap p)^{T*}$
by (*metis conv-dist-comp conv-dist-inf conv-involutive conv-isotone*
conv-star-commute)
hence $w \sqcap p \leq (w \sqcap y^T) * (-w \sqcap p)^{T*}$
using 1 **by** (*metis inf.absorb1 inf.left-commute inf.left-idem inf.orderI*
vector-inf-comp path-compression-invariant-def)
also have $\dots \leq (w \sqcap y^T) * ?p^{T*}$
by (*simp add: conv-isotone mult-right-isotone star-isotone*)
also have $\dots \leq ?p * ?p^{T*}$
by (*simp add: mult-left-isotone*)
also have $\dots \leq fc ?p$
by (*simp add: mult-left-isotone star.circ-increasing*)
finally have 20: $w \sqcap p \leq fc ?p$
by *simp*
have $-w \sqcap p \leq ?p$
by *simp*
also have $\dots \leq fc ?p$
by (*simp add: fc-increasing*)
finally have $(w \sqcup -w) \sqcap p \leq fc ?p$
using 20 **by** (*simp add: comp-inf.semiring.distrib-left*
inf.sup-monoid.add-commute)
hence $p \leq fc ?p$
using 1 **by** (*metis (no-types, hide-lams) bijective-regular*)

comp-inf.semiring.distrib-left inf.sup-monoid.add-commute maddux-3-11-pp
path-compression-invariant-def)
hence 21: $fc\ p \leq fc\ ?p$
using 3 *fc-idempotent fc-isotone by fastforce*
have $?p \leq (w \sqcap y^T) \sqcup p$
using *sup-right-isotone by auto*
also have $\dots = w * y^T \sqcup p$
using 1 *path-compression-invariant-def path-compression-precondition-def*
vector-covector by auto
also have $\dots \leq p^* \sqcup p$
using 1 by (*metis (no-types, lifting) conv-dist-comp conv-involutive*
conv-isotone conv-star-commute le-supI shunt-bijective star.circ-increasing
sup-absorb1 path-compression-invariant-def)
also have $\dots \leq fc\ p$
using *fc-increasing star.circ-back-loop-prefixpoint by auto*
finally have $fc\ ?p \leq fc\ p$
using 1 by (*metis (no-types, lifting) path-compression-invariant-def*
path-compression-precondition-def fc-idempotent fc-isotone)
thus *?thesis*
using 1 21 *path-compression-invariant-def by simp*
qed
show $card\ ?t < n$
proof –
have $?p^T * p^{T*} * w = (w^T \sqcap y) * p^{T*} * w \sqcup (-w^T \sqcap p^T) * p^{T*} * w$
by (*simp add: conv-complement conv-dist-inf conv-dist-sup*
mult-right-dist-sup)
also have $\dots \leq (w^T \sqcap y) * p^{T*} * w \sqcup p^T * p^{T*} * w$
using *mult-left-isotone sup-right-isotone by auto*
also have $\dots \leq (w^T \sqcap y) * p^{T*} * w \sqcup p^{T*} * w$
using *mult-left-isotone star.left-plus-below-circ sup-right-isotone by blast*
also have $\dots \leq y * p^{T*} * w \sqcup p^{T*} * w$
using *semiring.add-right-mono mult-left-isotone by auto*
also have $\dots \leq y * top \sqcup p^{T*} * w$
by (*simp add: comp-associative le-supI1 mult-right-isotone)*
also have $\dots = p^{T*} * w$
using 1 *path-compression-invariant-def path-compression-precondition-def*
sup-absorb2 by auto
finally have $?p^{T*} * p^T * w \leq p^{T*} * w$
using 11 by (*metis dual-order.trans star.circ-loop-fixpoint sup-commute*
sup-ge2 mult-assoc)
hence 22: $?t \subseteq ?s$
using *order-lesseq-imp mult-assoc by auto*
have 23: $w \in ?s$
using 1 *bijective-regular path-compression-invariant-def eq-iff*
star.circ-loop-fixpoint by auto
have 24: $\neg w \in ?t$
proof
assume $w \in ?t$
hence 25: $w \leq (?p^T \sqcap -1)^* * (p[[w]])$

```

    using reachable-without-loops by auto
  hence  $p[[w]] \leq (?p \sqcap -1)^* * w$ 
    using 1 2 by (metis (no-types, hide-lams) bijective-reverse
conv-star-commute reachable-without-loops path-compression-invariant-def)
  also have  $\dots \leq p^* * w$ 
  proof -
    have  $p^{T^*} * y = y$ 
      using 1 path-compression-invariant-def
    path-compression-precondition-def root-transitive-successor-loop by fastforce
    hence  $y^T * p^* * w = y^T * w$ 
      by (metis conv-dist-comp conv-involutive conv-star-commute)
    also have  $\dots = \text{bot}$ 
      using 1 5 by (metis (no-types, hide-lams) conv-dist-comp conv-dist-inf
equivalence-top-closed inf-top.right-neutral schroeder-2 symmetric-bot-closed
path-compression-invariant-def)
    finally have 26:  $y^T * p^* * w = \text{bot}$ 
      by simp
    have  $(?p \sqcap -1) * p^* * w = (w \sqcap y^T \sqcap -1) * p^* * w \sqcup (-w \sqcap p \sqcap -1)
* p^* * w$ 
      by (simp add: comp-inf.mult-right-dist-sup mult-right-dist-sup)
    also have  $\dots \leq (w \sqcap y^T \sqcap -1) * p^* * w \sqcup p * p^* * w$ 
      by (meson inf-le1 inf-le2 mult-left-isotone order-trans sup-right-isotone)
    also have  $\dots \leq (w \sqcap y^T \sqcap -1) * p^* * w \sqcup p^* * w$ 
      using mult-left-isotone star.left-plus-below-circ sup-right-isotone by blast
    also have  $\dots \leq y^T * p^* * w \sqcup p^* * w$ 
      by (meson inf-le1 inf-le2 mult-left-isotone order-trans sup-left-isotone)
    also have  $\dots = p^* * w$ 
      using 26 by simp
    finally show ?thesis
      by (metis comp-associative le-supI star.circ-loop-fixpoint sup-ge2
star-left-induct)
  qed
  finally have  $w \leq p^{T^*} * p^T * w$ 
    using 11 25 reachable-without-loops star-plus by auto
  thus False
    using 1 7 by (metis inf.le-iff-sup le-bot pseudo-complement schroeder-4-p
semiring.mult-zero-right star.circ-plus-same path-compression-invariant-def)
  qed
  have card ?t < card ?s
    apply (rule psubset-card-mono)
  subgoal using finite-regular by simp
  subgoal using 22 23 24 by auto
  done
  thus ?thesis
    using 1 by simp
  qed
  qed
  qed

```


lemma *path-compression-3*:

path-compression-invariant $p\ x\ y\ p0\ w \wedge y = p[[w]] \implies$
path-compression-postcondition $p\ x\ (p[[w]])\ p0$
using *path-compression-invariant-def* *path-compression-postcondition-def*
path-compression-precondition-def **by** *auto*

theorem *path-compression*:

VARs $p\ t\ w$
 $[$ *path-compression-precondition* $p\ x\ y \wedge p0 = p$ $]$
 $w := x;$
WHILE $y \neq p[[w]]$
 INV $\{ \textit{path-compression-invariant}\ p\ x\ y\ p0\ w \}$
 VAR $\{ \textit{card}\ \{ z . \textit{regular}\ z \wedge z \leq p^{T^*} * w \} \}$
 $DO\ t := w;$
 $w := p[[w]];$
 $p[t] := y$
 OD
 $[$ *path-compression-postcondition* $p\ x\ y\ p0$ $]$
apply *vcg-tc-simp*
 apply (*fact path-compression-1*)
 apply (*fact path-compression-2*)
by (*fact path-compression-3*)

lemma *path-compression-exists*:

path-compression-precondition $p\ x\ y \implies \exists p' . \textit{path-compression-postcondition}\ p'$
 $x\ y\ p$
using *tc-extract-function path-compression* **by** *blast*

definition *path-compression* $p\ x\ y \equiv (\textit{SOME}\ p' . \textit{path-compression-postcondition}\ p'$
 $p'\ x\ y\ p)$

lemma *path-compression-function*:

assumes *path-compression-precondition* $p\ x\ y$
 and $p' = \textit{path-compression}\ p\ x\ y$
shows *path-compression-postcondition* $p'\ x\ y\ p$
by (*metis assms path-compression-def path-compression-exists someI*)

4.4 Find-Set with Path Compression

We sequentially combine find-set and path compression. We consider implementations which use the previously derived functions and implementations which unfold their definitions.

theorem *find-set-path-compression*:

VARs $p\ y$
 $[$ *find-set-precondition* $p\ x \wedge p0 = p$ $]$
 $y := \textit{find-set}\ p\ x;$
 $p := \textit{path-compression}\ p\ x\ y$
 $[$ *path-compression-postcondition* $p\ x\ y\ p0$ $]$
apply *vcg-tc-simp*

using *find-set-function find-set-postcondition-def find-set-precondition-def path-compression-function path-compression-precondition-def* **by** *fastforce*

theorem *find-set-path-compression-1:*

```

VARS p t w y
[ find-set-precondition p x  $\wedge$  p0 = p ]
y := find-set p x;
w := x;
WHILE y  $\neq$  p[[w]]
  INV { path-compression-invariant p x y p0 w }
  VAR { card { z . regular z  $\wedge$  z  $\leq$  pT* * w } }
  DO t := w;
      w := p[[w]];
      p[t] := y
  OD
[ path-compression-postcondition p x y p0 ]
apply vcg-tc-simp
using find-set-function find-set-postcondition-def find-set-precondition-def path-compression-1 path-compression-precondition-def
apply fastforce
apply (fact path-compression-2)
by (fact path-compression-3)

```

theorem *find-set-path-compression-2:*

```

VARS p y
[ find-set-precondition p x  $\wedge$  p0 = p ]
y := x;
WHILE y  $\neq$  p[[y]]
  INV { find-set-invariant p x y  $\wedge$  p0 = p }
  VAR { card { z . regular z  $\wedge$  z  $\leq$  pT* * y } }
  DO y := p[[y]]
  OD;
p := path-compression p x y
[ path-compression-postcondition p x y p0 ]
apply vcg-tc-simp
apply (simp add: find-set-1)
using find-set-2 apply blast
by (smt find-set-3 find-set-invariant-def find-set-postcondition-def find-set-precondition-def path-compression-function path-compression-precondition-def)

```

theorem *find-set-path-compression-3:*

```

VARS p t w y
[ find-set-precondition p x  $\wedge$  p0 = p ]
y := x;
WHILE y  $\neq$  p[[y]]
  INV { find-set-invariant p x y  $\wedge$  p0 = p }
  VAR { card { z . regular z  $\wedge$  z  $\leq$  pT* * y } }
  DO y := p[[y]]

```

```

    OD;
  w := x;
  WHILE y ≠ p[[w]]
    INV { path-compression-invariant p x y p0 w }
    VAR { card { z . regular z ∧ z ≤ pT* * w } }
    DO t := w;
      w := p[[w]];
      p[t] := y
    OD
  [ path-compression-postcondition p x y p0 ]
apply vcg-tc-simp
  apply (simp add: find-set-1)
  using find-set-2 apply blast
  using find-set-3 find-set-invariant-def find-set-postcondition-def
  find-set-precondition-def path-compression-invariant-def
  path-compression-precondition-def apply blast
  apply (fact path-compression-2)
  by (fact path-compression-3)

```

Find-set with path compression returns two results: the representative of the tree and the modified disjoint-set forest.

lemma *find-set-path-compression-exists*:
find-set-precondition p x $\implies \exists p' y . \text{path-compression-postcondition } p' x y p$
using tc-extract-function *find-set-path-compression* **by** blast

definition *find-set-path-compression* $p x \equiv (\text{SOME } (p',y) . \text{path-compression-postcondition } p' x y p)$

lemma *find-set-path-compression-function*:
assumes *find-set-precondition p x*
and $(p',y) = \text{find-set-path-compression } p x$
shows *path-compression-postcondition p' x y p*
proof –
let $?P = \lambda(p',y) . \text{path-compression-postcondition } p' x y p$
have $?P (\text{SOME } z . ?P z)$
apply (unfold some-eq-ex)
using *assms*(1) *find-set-path-compression-exists* **by** simp
thus *?thesis*
using *assms*(2) *find-set-path-compression-def* **by** auto
qed

4.5 Union-Sets

We only consider a naive union-sets operation (without ranks). The semantics is the equivalence closure obtained after adding the link between the two given nodes, which requires those two elements to be in the same set. The implementation uses temporary variable t to store the two results returned by find-set with path compression. The disjoint-set forest, which keeps being updated, is threaded through the sequence of operations.

definition *union-sets-precondition* $p\ x\ y \equiv \text{disjoint-set-forest } p \wedge \text{point } x \wedge \text{point } y$

definition *union-sets-postcondition* $p\ x\ y\ p0 \equiv \text{union-sets-precondition } p\ x\ y \wedge \text{fc } p = \text{wcc } (p0 \sqcup x * y^T)$

theorem *union-sets*:

```

VARs  $p\ r\ s\ t$ 
[ union-sets-precondition  $p\ x\ y \wedge p0 = p$  ]
 $t := \text{find-set-path-compression } p\ x;$ 
 $p := \text{fst } t;$ 
 $r := \text{snd } t;$ 
 $t := \text{find-set-path-compression } p\ y;$ 
 $p := \text{fst } t;$ 
 $s := \text{snd } t;$ 
 $p[r] := s$ 
[ union-sets-postcondition  $p\ x\ y\ p0$  ]

```

proof *vcg-tc-simp*

```

fix  $p$ 
let  $?t1 = \text{find-set-path-compression } p\ x$ 
let  $?p1 = \text{fst } ?t1$ 
let  $?r = \text{snd } ?t1$ 
let  $?t2 = \text{find-set-path-compression } ?p1\ y$ 
let  $?p2 = \text{fst } ?t2$ 
let  $?s = \text{snd } ?t2$ 
let  $?p = ?p2[?r \mapsto ?s]$ 
assume  $1: \text{union-sets-precondition } p\ x\ y \wedge p0 = p$ 
show union-sets-postcondition  $?p\ x\ y\ p$ 
proof (unfold union-sets-postcondition-def union-sets-precondition-def, intro conjI)
  have path-compression-postcondition  $?p1\ x\ ?r\ p$ 
    using  $1$  by (simp add: find-set-precondition-def union-sets-precondition-def find-set-path-compression-function)
  hence  $2: \text{disjoint-set-forest } ?p1 \wedge \text{point } ?r \wedge ?r = \text{root } ?p1\ x \wedge ?p1 \sqcap 1 = p \sqcap 1 \wedge \text{fc } ?p1 = \text{fc } p$ 
    using path-compression-precondition-def path-compression-postcondition-def
by auto
  hence path-compression-postcondition  $?p2\ y\ ?s\ ?p1$ 
    using  $1$  by (simp add: find-set-precondition-def union-sets-precondition-def find-set-path-compression-function)
  hence  $3: \text{disjoint-set-forest } ?p2 \wedge \text{point } ?s \wedge ?s = \text{root } ?p2\ y \wedge ?p2 \sqcap 1 = ?p1 \sqcap 1 \wedge \text{fc } ?p2 = \text{fc } ?p1$ 
    using path-compression-precondition-def path-compression-postcondition-def
by auto
  hence  $4: \text{fc } ?p2 = \text{fc } p$ 
    using  $2$  by simp
  show  $5: \text{univalent } ?p$ 
    using  $2\ 3$  update-univalent by blast
  show total  $?p$ 
    using  $2\ 3$  bijective-regular update-total by blast

```

```

show acyclic (?p  $\sqcap$  -1)
proof (cases ?r = ?s)
  case True
  thus ?thesis
    using 3 update-acyclic-3 by fastforce
next
case False
hence bot = ?r  $\sqcap$  ?s
  using 2 3 distinct-points by blast
also have ... = ?r  $\sqcap$  ?p2T* * ?s
  using 3 root-transitive-successor-loop by force
finally have ?s  $\sqcap$  ?p2* * ?r = bot
  using schroeder-1 conv-star-commute inf.sup-monoid.add-commute by
fastforce
  thus ?thesis
    using 2 3 update-acyclic-2 by blast
qed
show vector x
  using 1 by (simp add: union-sets-precondition-def)
show injective x
  using 1 by (simp add: union-sets-precondition-def)
show surjective x
  using 1 by (simp add: union-sets-precondition-def)
show vector y
  using 1 by (simp add: union-sets-precondition-def)
show injective y
  using 1 by (simp add: union-sets-precondition-def)
show surjective y
  using 1 by (simp add: union-sets-precondition-def)
show fc ?p = wcc (p  $\sqcup$  x * yT)
proof (rule antisym)
  have ?r = ?p1[[?r]]
    using 2 root-successor-loop by force
  hence ?r * ?rT  $\leq$  ?p1T
    using 2 eq-refl shunt-bijective by blast
  hence ?r * ?rT  $\leq$  ?p1
    using 2 conv-order coreflexive-symmetric by fastforce
  hence ?r * ?rT  $\leq$  ?p1  $\sqcap$  1
    using 2 inf.boundedI by blast
  also have ... = ?p2  $\sqcap$  1
    using 3 by simp
  finally have ?r * ?rT  $\leq$  ?p2
    by simp
  hence ?r  $\leq$  ?p2 * ?r
    using 2 shunt-bijective by blast
  hence 6: ?p2[[?r]]  $\leq$  ?r
    using 3 shunt-mapping by blast
  have ?r  $\sqcap$  ?p2  $\leq$  ?r * (top  $\sqcap$  ?rT * ?p2)
    using 2 by (metis dedekind-1)

```

also have $\dots = ?r * ?r^T * ?p2$
by (*simp add: mult-assoc*)
also have $\dots \leq ?r * ?r^T$
using 6 by (*metis comp-associative conv-dist-comp conv-involutive conv-order mult-right-isotone*)
also have $\dots \leq 1$
using 2 by *blast*
finally have 7: $?r \sqcap ?p2 \leq 1$
by *simp*
have $p \leq wcc\ p$
by (*simp add: star.circ-sub-dist-1*)
also have $\dots = wcc\ ?p2$
using 4 by (*simp add: star-decompose-1*)
also have 8: $\dots \leq wcc\ ?p$
proof –
have $wcc\ ?p2 = wcc\ ((-?r \sqcap ?p2) \sqcup (?r \sqcap ?p2))$
using 2 by (*metis bijective-regular inf.sup-monoid.add-commute maddux-3-11-pp*)
also have $\dots \leq wcc\ ((-?r \sqcap ?p2) \sqcup 1)$
using 7 *wcc-isotone sup-right-isotone* **by** *simp*
also have $\dots = wcc\ (-?r \sqcap ?p2)$
using *wcc-with-loops* **by** *simp*
also have $\dots \leq wcc\ ?p$
using *wcc-isotone sup-ge2* **by** *blast*
finally show *?thesis*
by *simp*
qed
finally have 9: $p \leq wcc\ ?p$
by *force*
have $?r \leq ?p1^{T^*} * x$
using 2 by *simp*
hence 10: $?r * x^T \leq ?p1^{T^*}$
using 1 *shunt-bijective union-sets-precondition-def* **by** *blast*
hence $x * ?r^T \leq ?p1^*$
using *conv-dist-comp conv-order conv-star-commute* **by** *force*
also have $\dots \leq wcc\ ?p1$
by (*simp add: star.circ-sub-dist*)
also have $\dots = wcc\ ?p2$
using 2 3 by (*simp add: fc-wcc*)
also have $\dots \leq wcc\ ?p$
using 8 by *simp*
finally have 11: $x * ?r^T \leq wcc\ ?p$
by *simp*
have 12: $?r * ?s^T \leq wcc\ ?p$
using 2 3 *star.circ-sub-dist-1 sup-assoc vector-covector* **by** *auto*
have $?s \leq ?p2^{T^*} * y$
using 3 by *simp*
hence 13: $?s * y^T \leq ?p2^{T^*}$
using 1 *shunt-bijective union-sets-precondition-def* **by** *blast*

also have $\dots \leq wcc \ ?p2$
 using *star-isotone sup-ge2* by *blast*
 also have $\dots \leq wcc \ ?p$
 using *8* by *simp*
 finally have *14*: $?s * y^T \leq wcc \ ?p$
 by *simp*
 have $x \leq x * ?r^T * ?r \wedge y \leq y * ?s^T * ?s$
 using *2 3 shunt-bijective* by *blast*
 hence $x * y^T \leq x * ?r^T * ?r * (y * ?s^T * ?s)^T$
 using *comp-isotone conv-isotone* by *blast*
 also have $\dots = x * ?r^T * ?r * ?s^T * ?s * y^T$
 by (*simp add: comp-associative conv-dist-comp*)
 also have $\dots \leq wcc \ ?p * (?r * ?s^T) * (?s * y^T)$
 using *11* by (*metis mult-left-isotone mult-assoc*)
 also have $\dots \leq wcc \ ?p * wcc \ ?p * (?s * y^T)$
 using *12* by (*metis mult-left-isotone mult-right-isotone*)
 also have $\dots \leq wcc \ ?p * wcc \ ?p * wcc \ ?p$
 using *14* by (*metis mult-right-isotone*)
 also have $\dots = wcc \ ?p$
 by (*simp add: star.circ-transitive-equal*)
 finally have $p \sqcup x * y^T \leq wcc \ ?p$
 using *9* by *simp*
 hence $wcc \ (p \sqcup x * y^T) \leq wcc \ ?p$
 using *wcc-below-wcc* by *simp*
 thus $wcc \ (p \sqcup x * y^T) \leq fc \ ?p$
 using *5 fc-wcc* by *simp*
 have $-?r \sqcap ?p2 \leq wcc \ ?p2$
 by (*simp add: inf.coboundedI2 star.circ-sub-dist-1*)
 also have $\dots = wcc \ p$
 using *4* by (*simp add: star-decompose-1*)
 also have $\dots \leq wcc \ (p \sqcup x * y^T)$
 by (*simp add: wcc-isotone*)
 finally have *15*: $-?r \sqcap ?p2 \leq wcc \ (p \sqcup x * y^T)$
 by *simp*
 have $?r * x^T \leq wcc \ ?p1$
 using *10 inf.order-trans star.circ-sub-dist sup-commute* by *fastforce*
 also have $\dots = wcc \ p$
 using *2* by (*simp add: star-decompose-1*)
 also have $\dots \leq wcc \ (p \sqcup x * y^T)$
 by (*simp add: wcc-isotone*)
 finally have *16*: $?r * x^T \leq wcc \ (p \sqcup x * y^T)$
 by *simp*
 have *17*: $x * y^T \leq wcc \ (p \sqcup x * y^T)$
 using *le-supE star.circ-sub-dist-1* by *blast*
 have $y * ?s^T \leq ?p2^*$
 using *13 conv-dist-comp conv-order conv-star-commute* by *fastforce*
 also have $\dots \leq wcc \ ?p2$
 using *star.circ-sub-dist sup-commute* by *fastforce*
 also have $\dots = wcc \ p$

using 4 **by** (*simp add: star-decompose-1*)
also have $\dots \leq wcc (p \sqcup x * y^T)$
by (*simp add: wcc-isotone*)
finally have 18: $y * ?s^T \leq wcc (p \sqcup x * y^T)$
by *simp*
have $?r \leq ?r * x^T * x \wedge ?s \leq ?s * y^T * y$
using 1 *shunt-bijective union-sets-precondition-def* **by** *blast*
hence $?r * ?s^T \leq ?r * x^T * x * (?s * y^T * y)^T$
using *comp-isotone conv-isotone* **by** *blast*
also have $\dots = ?r * x^T * x * y^T * y * ?s^T$
by (*simp add: comp-associative conv-dist-comp*)
also have $\dots \leq wcc (p \sqcup x * y^T) * (x * y^T) * (y * ?s^T)$
using 16 **by** (*metis mult-left-isotone mult-assoc*)
also have $\dots \leq wcc (p \sqcup x * y^T) * wcc (p \sqcup x * y^T) * (y * ?s^T)$
using 17 **by** (*metis mult-left-isotone mult-right-isotone*)
also have $\dots \leq wcc (p \sqcup x * y^T) * wcc (p \sqcup x * y^T) * wcc (p \sqcup x * y^T)$
using 18 **by** (*metis mult-right-isotone*)
also have $\dots = wcc (p \sqcup x * y^T)$
by (*simp add: star.circ-transitive-equal*)
finally have $?p \leq wcc (p \sqcup x * y^T)$
using 2 3 15 *vector-covector* **by** *auto*
hence $wcc ?p \leq wcc (p \sqcup x * y^T)$
using *wcc-below-wcc* **by** *blast*
thus *fc* $?p \leq wcc (p \sqcup x * y^T)$
using 5 *fc-wcc* **by** *simp*
qed
qed
qed

lemma *union-sets-exists*:

union-sets-precondition $p \ x \ y \implies \exists p' . \text{union-sets-postcondition } p' \ x \ y \ p$
using *tc-extract-function union-sets* **by** *blast*

definition *union-sets* $p \ x \ y \equiv (\text{SOME } p' . \text{union-sets-postcondition } p' \ x \ y \ p)$

lemma *union-sets-function*:

assumes *union-sets-precondition* $p \ x \ y$
and $p' = \text{union-sets } p \ x \ y$
shows *union-sets-postcondition* $p' \ x \ y \ p$
by (*metis assms union-sets-def union-sets-exists someI*)

end

end

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